

ATTORNEY DOCKET NO.
18608-P001C1

PATENT
APPLICATION NO. 09/067,640

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stallaert, et al.

Serial No.: 09/067,640

Filing Date: April 27, 1998

Art Unit: 2761

Examiner: Not yet assigned

Title: APPARATUS FOR TRADING OF BUNDLED
ASSETS INCLUDING BUNDLE
SUBSTITUTION AND METHOD THEREFOR

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated below.

Tom ASENDORF
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Printed

6-30-99
Date

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, and MPEP 609, Applicant submits herewith copies of the patents and other documents listed below and on the attached Form PTO-1449 "Information Disclosure Citation."

PATENTS

U.S. Patent Document

5,689,652

Applicant

Lupien, et al.

Date of Publication

11-18-1997

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APPLICATION NO. 09/067,640

FOREIGN PATENTS

Foreign Patent Document No.	Inventor	Publication Date
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OTHER DOCUMENTS

Author	Title	Publication Date	Pages
Fan, et al.	Creating Electronic Markets	11-1998	4
Kalakota, et al.	Worldwide Real-Time Decision Support System for Electronic Commerce Applications	1996	22
R.M. Miller	On Distributing the Intelligence of Economic Processes	1986	2

REMARKS

Consideration by the Examiner of each of the documents listed herein and on Form PTO-1449 is respectfully requested. Applicant respectfully requests that a copy of PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR § 1.97(g). Furthermore, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this

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application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR § 1.97(h).

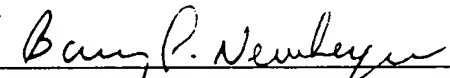
This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application. MPEP §609(c) and MPEP § 601(e)(1).

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

Date: _____


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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/067,640
				Filing Date	April 27, 1998
				First Named Inventor	Jan Stallaert
				Group Art Unit	2761
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	18608-P001C1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Kind Code ² Number (if known)				
		5,689,652		Lupien, et al.	11-18-1997	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Kind Code ⁵ Number ⁴ Office ³ (if known)					

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/067,640
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		First Named Inventor	Jan Stallaert
		Group Art Unit	2761
		Examiner Name	Not yet assigned
		Attorney Docket Number	18608-P001C1
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		FAN, ET AL., Creating Electronic Markets; Dr. Dobb's Journal; November 1998; 5 pages	
		KALAKOTA, ET AL.; Worldwide Real-Time Decision Support Systems for Electronic Commerce Applications; Journal of Organizational Computing and Electronic Commerce 6(1), 11-32 (1996); 22 pages; University of Texas; Austin, Texas	
		R.M. MILLER; On Distributing the Intelligence of Economic Process; IFAC Economics and Artificial Intelligence; 1986; 2 pages; Aix-en-Provence, France	

Examiner Signature		Date Considered	
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